



CCOutreach Seminar

For Chief Compliance Officers of European-Based SEC-Registered Investment Advisers

The staff from the U.S. Securities & Exchange Commission's ("SEC") Office of Compliance Inspections and Examinations invite you to join us for discussions on the risk identification and assessment process used by SEC examiners and how this process can help you administer effective compliance programs. The SEC staff will also discuss the general examination process as well as information and documents we request, various analyses that may be performed using this information, frequent SEC examination findings, and factors to consider when establishing compliance controls. You will be able to ask questions and get answers from experienced, senior SEC staff.

If you are interested in attending, please register by sending an email to CCOutreach@sec.gov with the following information:

Attendee name, title, and email address
Firm name

Hosted by:  *Commission de Surveillance du Secteur Financier*

LOCATION: Luxembourg Conference Center Kiem
5, rue Carlo Hemmer
L-1734 Luxembourg-Kirchberg

TIME: Wednesday, July 25, 2007
Doors open at 9:00 am
Seminar will be held from 9:30 am to 3:30 pm

Admission is Free – Attire is business casual – Lunch and refreshments will be provided